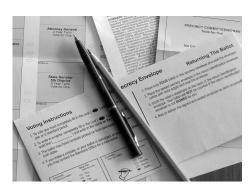
Empowering Voters. Defending Democracy.





Electoral Systems Education Materials for LWVPWM Concurrence with LWVUS Position

Announcement of Concurrence — May 5, 2021, from 12:15-1:30 — following the monthly meeting, we'll have a short education session to answer questions about these study materials and then hold a concurrence session to determine whether LWVPWM concurs with the LWVUS position.

Prior to 2020, LWVUS positions covered a multitude of public policy issues for guiding advocacy with elected representatives, but *no position on how we elect representatives who decide public policy*. Ensuring that our PWM advocacy, whatever one's priority issue, targets legislators who actually represent our communities requires we have a position on electoral systems.

The LWV US position, achieved by concurrence in 2020, uses direct language from 14 state positions, provides state and local Leagues with a flexible base of principles for evaluating electoral changes (proposed by ballot or legislation) to improve representation, and allows leagues to advocate for systems conforming to the principles without extensive additional study.

This document lays out this new US Position along with its history, the rationale provided by the state and local leagues advocating for it in 2020, pro/con considerations, and other relevant materials, including a glossary:

Introduction: How shall we choose our representatives?	2
The LWV US Position & History	3
Materials Submitted by State Leagues Seeking National Concurrence (2020)	5
Pro and Con Considerations, Voter Representation / Electoral Systems	7
League of Women Voters State Positions [as of 2016]	8
Criteria for Evaluating Election Methods	13
Ranked Choice Voting Considerations	21
The LWV Vermont Position & History w/Pros and Cons	22
Terminology/Glossary	25

Introduction: How shall we choose our representatives?1

From ancient civilizations to the far, far future, this basic question of how to combine each individual's nuanced preferences and opinions into a single aggregate choice is a procedural concern affecting every aspect of our lives. Our current winner-take-all, plurality system, while easy to understand and administer, faces growing challenges from voters and public policy experts, particularly when compared to other systems, such as those used in this country and other countries to elect officials who are more representative of their constituencies.

In June of 2020, the US League of Women Voters adopted by concurrence a position on Electoral Systems at the national convention. This is the League's first national position on how Americans elect our representatives.

This new LWVUS position was drafted to guide state and local leagues in their advocacy of election system reform. Among the most popular (and established) reforms, Instant Run-off Voting (IRV), most often called Ranked Choice Voting (RCV), is fully consistent with the LWVUS position. Leagues around the country, after in-depth studies and discussion, are advocating for RCV, including the leagues who drafted and advocated for the new US position in 2020. RCV is has been adopted at the state level in Maine and Alaska, and at the local level in many localities, including New York City.

Both the New York State Assembly and New York State Senate have introduced bills that would make Ranked Choice Voting an option in state-level elections. To date, none have made it out of committee.

Our PWM goal is to determine LWVPWM concurrence with the US position.

Should we choose to concur, we would then be in a position to explore endorsing Ranked Choice Voting (RCV) as an Electoral System reform supported by the US position. Our PWM league will have to decide separately about endorsing any local election reform, including RCV.

¹ Throughout this educational packet, first-person pronouns refer to the league referenced in the controlling footnote.

The LWV US Position & History

Voter Representation/ Electoral Systems (adopted 2020)²

The League's Position

Statement of Position on Voter Representation/Electoral Systems as adopted by concurrence by the 54th National Convention In June 2020:

LWVUS promotes an open governmental system that is representative, accountable, and responsive. We encourage electoral methods that provide the broadest voter representation possible and are expressive of voter choices.

Whether for single or multiple winner contests, the League supports electoral methods that:

- Encourage voter participation and voter engagement
- Encourage those with minority opinions to participate, including under-represented communities
- Are verifiable and auditable
- · Promote access to voting
- Maximize effective votes/minimize wasted votes
- Promote sincere voting over strategic voting
- Implement alternatives to plurality voting
- Are compatible with acceptable ballot-casting methods, including vote-by-mail

The LWVUS believes in representative government. The League supports electoral systems that elect policy-making bodies—legislatures, councils, commissions, and boards—that proportionally reflect the people they represent. We support systems that inhibit political manipulation (e.g., gerrymandering). The LWVUS supports enabling legislation to allow local jurisdictions to explore alternative electoral methods, as well as supporting state election laws allowing for more options at both the state and local levels. With the adoption of any electoral system, the League believes that education of the voting public is important and funding for startup and voter education should be available. We encourage a concerted voter education process.

History

The League has positions on a multitude of public policy issues decided by our elected representatives, however, until the adoption of this position it did not have a position on how we elect the representatives that make those public policy decisions. Over time, 14 Leagues have

² "2020 Issues to Impact," League of Women Voters of the United States, p. 47-48, available at https://www.lwv.org/impact-issues.

conducted studies and developed positions supporting alternatives to the plurality system. This position is a compilation of positions adopted by state Leagues in AZ, CA, CO, FL, MA, ME, MN, NC, OK, OR, PA, SC, VT, WA, and established LWVUS principles on representation.

This position does not support any particular election method but rather supports the LWV goals for "an open, governmental system that is representative, accountable and responsive." It allows for Leagues to use the position to evaluate or propose electoral options. This position provides us a clear, but flexible, base of principles to explore election method reforms and take action when appropriate for voters. Moving forward, LWVUS will work with state and local Leagues to interpret and use the position but some basic guidelines for use include:

- The National League could use this position to support or oppose federal legislation.
- A State League can use it to support or oppose state legislation.
- Local Leagues can use it to propose or evaluate an electoral system proposed in their community.
- Local Leagues can propose or support a suitable election method as a remedy to voting rights lawsuits led when a protected group is under-represented by the current system.

Materials Submitted by State Leagues Seeking National Concurrence (2020)³

LWV Voter Representation/ Electoral Systems Concurrence Quick Summary

- 1. This concurrence is about voter representation. It is NOT about election security or transparency which is covered by other League positions and it is NOT about election services.
- 2. This concurrence is about increasing representation for voters via the electoral system. [The electoral system means how votes translate into seats, or how the winner(s) are determined.]
- 3. All public policy issues (i.e. health care, climate, education, etc.) that we care about are determined by our elected officials. So a more representative government is critical to achieving good public policy.
- 4. When voters are denied representation because of where they live, how district lines are drawn or because they are an ethnic or political minority, they are not empowered. As such, voter apathy should not be a surprise. The electoral methods we use to elect our representatives can improve the representation of more voters.
- 5. Currently, LWVUS has no position on how we elect our representatives. This position is especially important to Leagues without their own position. It provides clear, flexible guidelines to evaluate electoral options that will enhance the voice of, and empower, more voters.
- 6. This concurrence position is based on many State and Local League studies and positions. This concurrence process draws on their research and consensus.
- 7. No study is required. No action is required.
- 8. This position provides a guide and criterion to consider when proposing or evaluating electoral method reforms to "provide the broadest voter representation possible" or remedy violations of voting rights.
- 9. Some electoral terms may be new to members. The background rationale, terminology, and pros and cons have been provided on the LWVUS League Management site, and are being sent to all delegates.
- 10. This position does not support any particular electoral system(s) as no system is perfect. (However, state and local Leagues found some are better than others at enhancing representation for more voters.)
- 11. While many Leagues have positions that support alternative electoral systems, this LWVUS concurrence does not support any particular electoral system(s). It helps Leagues evaluate whether to support or oppose voter-approved ballot options for their communities.
- 12. VOTE YES for the Voter Representation /Electoral System concurrence. [Done, June 2020.]

³ Material on pages 5-7 was created prior to LWVUS adopting its position on electoral systems so some content has updates noted; pages 5-7 content was taken from LWVUS League Management site: https://www.lwv.org/league-management/pro-and-con-considerations-voter-representation-electoral-systems

For more information contact:

Paula Lee, LWV Sacramento County, California 916.704.0195 (Pacific Standard Time) paula.lee@comcast.net

Partial List of Supporters

Barbara Klein. LWV Rogue Valley, Oregon 541.708.0518 (Pacific Standard Ti drbarbaraklein@120years.com

Paula Lee, Co-chair of project. LWV Sacramento, Action Chair (California)

Barbara Klein, Co-chair of project. LWV Rogue Valley, Action Chair (Oregon, previously in AZ)

Deb Brinkman, President, LWV St Louis Park (Minnesota)

Lori Dawson, LWV Saratoga County, Cochair Meetups (New York)

Lora Lavin, LWVPA, Former Representative Government Specialist (Pennsylvania)

Ann Luther, LWVME former President (Maine)

Carol Mattoon, LWV Northwest Maricopa County, Board Director (Arizona and Michigan resident)

Ann Murphy, LWVWA, President (Washington)

Jack Nagel, LWV Central Delaware County (Pennsylvania)

Ann O'Connell, LWV Salt Lake, Natural Resources Chair (Utah)

Catherine Rader, LWVVT, Board Director (Vermont)

Barbara Klein, LWV Rogue Valley, Oregon 541.708.0518 (Pacific Standard Time) drbarbaraklein@120years.com

Kathy Sakahara, LWVWA Elections Issue Chair (Washington)

Barbra Chevalier, LWVWA Issue Chair for Election Methods (Washington)

Alice Stambaugh, LWVAZ former co-President (Arizona)

Jill Ward, LWVME President (Maine)

Marcia Wattson, LWV Minneapolis (Minnesota)

Deb McDonough, LWV Maine (Maine)

Nancy Leifer, LWV MT President (Montana)

Debra Cronmiller, ED LWVWI (Wisconsin)

Peggy Watkins, LWV Memphis (Tennessee)

Christe McCoy, LWV South Carolina, President (South Carolina)

Lisa Ayrault LWV Washington (Washington)

Ruth Stemler LWV Colorado President. (Colorado)

Celeste Landry LWV Boulder County, CO. Chair Voting Methods Team, (Colorado)

Gaythia Weis, LWV Boulder County, CO. Voting Methods Team (Colorado)

Marion Ott, LWV TN President (Tennessee)

Pro and Con Considerations, Voter Representation / Electoral Systems

Pro	Con
Considering our long-standing principle, "We believe in representative government," after 100 years, we need a position on Voter Representation to support this principle. The electoral system determines WHO gets representation.	After 100 years, the LWV doesn't need a national position on Voter Representation; the principle covers it.
This proposed concurrence provides a clear but flexible base of preferred principles (from 14 state and many local positions) for evaluating electoral options to enhance voter representation.	The numerous criteria included are very broad, and, as an entire group, not easily achieved.
This position does not support one particular electoral system, but allows options for local jurisdictions seeking to empower more voters.	This position doesn't specifically name or support a best electoral system; naming the 'best' could be helpful and less confusing to members.
This concurrence was developed from positions of 14 state leagues. Those Leagues, and many other Local / State Leagues, have done deep dives into electoral reform. As LWVUS intended, a concurrence allows us all to take advantage of their work. LWVUS is supporting adopting positions by concurrence as an alternative to national studies.	Despite having used the concurrence process (instead of full nationwide studies) in the past for national positions, we should not adopt positions by this method.
This position is especially important to Leagues without their own position. Such Leagues can use this to evaluate whether to support or oppose voterapproved ballot options or legislation for their communities; or even to propose such measures. This does not supersede the option for Leagues with positions to use their own.	Some Leagues may prefer to do their own study and develop their own position.
The purpose of a concurrence (the process which is in our bylaws and demands a 2/3 vote) is to use the positions of Leagues that have done the full research and consensus of each subject and arrived at a position.	Without full national study, there may not be member understanding. Some states have studied it, but all states should study it at the same time.
It makes sense for the League of Women VOTERS to have a position on how we VOTE in our leaders.	The LWV doesn't need a position on HOW we elect our leaders. We have functioned within our current system and without a position for 100 years.
Electoral options are needed to improve voter representation. The LWV does not have a position that supports or opposes ANY electoral system	While the LWV has not studied and does not have a position on the current winner-take-all plurality system, it is accepted and familiar to voters.
This position recognizes that the electoral systems we use can enhance or deny representation just as much as who draws district lines, and how they are drawn. With this position, both issues are addressed	Redistricting reform with Independent redistricting commissions drawing the lines will solve the issue of voters not being represented.

League of Women Voters State Positions⁴ [as of 2016] ARIZONA

The League of Women Voters of Arizona believes in the election system principle of greater vote representation. The LWVAZ maintains the hope that election system reform that provides a stronger voice for the greatest number of voters should have a positive effect on voter participation. Therefore, the LWVAZ:

- Supports changing the present election systems so that they more accurately represent the wishes of voters:
- Adopting the Instant Runoff Voting (IRV) system for single seat races;
- Adopting proportional representation for multi-seat races, specifically Ranked Choice Votina.
- Believes that education of the voting public is important to election systems.
- Supports giving Arizona voters the option of more choice among election systems.

Consensus 2005, Amended 2008

CALIFORNIA

Election Systems Position:

Support election systems for executive offices, both at the state and local levels, that require the winner to receive a majority of the votes, as long as the majority is achieved using a voting method such as Instant Runoff Voting, rather than a second, separate runoff election.

(In 2011 Expanded to all single-winner elections.) Adopted 2001; Modified 2003; Expanded to all single- winner elections, 2011.

FLORIDA

Following statewide local League consensus meetings, the League of Women Voters of Florida announced a new Election Law, Voting Process position making the method of instant runoff voting a recommended alternative to plurality voting.

MAINE

Endorsed ranked choice voting in 2011.

President Barbara McDade wrote in Bangor paper in 2011:

"A recent OpEd piece asserted that run-off elections are not needed. The League of Women Voters of Maine disagrees. "Majority rules" is the bedrock of our democracy. The majority of the people should elect our governor, providing him or her the mandate necessary to lead effectively.

"The League endorses Instant Runoff Voting (IRV). With IRV, voters rank all candidates on the ballot in order of preference. In round one, the first-choice votes are counted. If a candidate gets more than 50 percent of the votes, he or she is declared the winner. If no candidate has a majority, the counting goes to round two. The candidate with the lowest number of votes is eliminated, and the votes cast for the eliminated candidate are then transferred to the second choice listed on each ballot. If a candidate gets a majority, the election is over. If no one receives a majority, the counting continues to round three and so forth.

⁴ From LWV of Oregon, "Election Methods Update 2016," available at https://www.lwvor.org/study-archive.

"This system would elect the candidate with the broadest support of the people. The election would be accomplished in one day, avoiding many of the problems associated with traditional runoff elections, including additional expenses for municipalities, extension of the campaign season, increased cost of campaign financing, and significant reduction in voter engagement and turnout in the runoff.

"IRV legislation will be introduced in Maine's Legislature this session. Our legislators should give IRV careful consideration as a means to ensure broad support for the state's chief executive.

MASSACHUSETTS

VOTING SYSTEMS - GOAL: Voting systems should be easy to use, administer and understand. encourage high voter turnout, encourage real discussion on issues, promote minority representation, and encourage candidates to run.

When electing someone to a single executive office at the state level, such as governor or attorney general, including primary and general elections, the voting system should require the winner to obtain a majority of the votes.

The League supports instant runoff voting. Cost and complexity make two-round runoff not acceptable. Adopted 2005

MINNESOTA

Position on Alternative Voting Systems:

Alternative Voting Systems: Support of the option to use Instant Runoff Voting to elect State or Local Officials in single seat elections. LWVMN also supports the continued use of the plurality voting system in our elections. The LWVMN Board reserves the right to decide the appropriateness of legislation proposing to replace the plurality voting system with the Instant Runoff System at the state level. LWVMN strongly supports the right of local governments and municipalities to choose Instant Runoff Voting for their own elections. Voters need to understand how votes in an election are tabulated and how a candidate actually wins an election. If a change in elections occurs, LWVMN strongly supports adequate voter education. LWVMN does not support Approval. Borda Count, or Condorcet as alternative voting systems.

NORTH CAROLINA

IRV Endorsement: In accordance with the League of Women Voters 'position of promoting political responsibility through informed and active participation of citizens in government, the LWVNC will support legislation that assures that the candidate preferred by a majority of voters wins the election.

Specifically, the LWVNC will support instant runoff voting (IRV) for all statewide and local elections. Adopted: May 31, 2008.

OKLAHOMA

Took position in favor of IRV over runoffs in 2011.

SOUTH CAROLINA

Adopting election systems that ensure better proportional representation of the varied segments of our voting population, within South Carolina, by city, county or statewide, as is appropriate. Our present "winner-take-all" system in many instances fails to achieve a goal of fair representation of minorities and women. Most of the world's major democracies use a form of proportional representation, and we endorse this opportunity for the following reasons:

- a) To achieve better election and/or influence for minorities and women in proportion to their numbers in the population.
- b) To lessen election costs, in part by eliminating costly runoff elections.
- c) To eliminate redistricting and its frequent abuse through gerrymandering.
- d) To lessen the advantages now in place for incumbent candidates over new office- seekers.
- e) To lessen polarization among segments of the population.
- f) To increase voter turnout and decrease voter cynicism.
- g) To encourage election campaigns based on issues rather than personal attacks.
- h) To promote a greater opportunity for the voices of third-party candidates.

Continued support for the League's one-person, one-vote position, with added emphasis on the right of each community to develop its own election system, after careful examination of the demography of its community. Systems which may be considered include Instant Runoff Voting (IRV), Limited Voting, Cumulative Voting, and others. Of these, IRV has been used in various states and localities, and is being introduced into various election districts. It is likely to be the most effective and widely accepted new system.

The actions supported below speak to local elections only because it is unrealistic to consider them at a statewide level before actual local practice has taken hold so that the public may be aware of the advantages of a new system, as well as its simplicity in practice. In order to seek the method most suitable for the local population, we recommend that the local League determine whether a form of proportional voting would benefit the community in so far as providing more equitable elected representation and if the result is affirmation, proceed as follows:

- a) Determine what alternative election system would be desirable to achieve the above goals.
- b) Engage in a concerted voter-education process within the community so as to gain community understanding and support.
- c) Work with the city or county council to change their respective election methods to incorporate at-large elections, as well as a selected alternative election system, either through Council vote or by voter referendum.
- d) Work with legislators to change school board election methods. (Any agreement to change the voting method will require subsequent approval of the US Justice Department.)
- e) Provide continuing voter education to enhance public acceptance and ease of voting under a new election system and work with County Election officials to ensure successful execution at the first election under a new system.

Background/Action: A study of alternative election systems was passed at the LWVSC 2003 convention. The number 11 support position was adopted by the state board at its March 2005 meeting. In 2007, with completion of a two-year study of electronic voting in SC, Section 9.f. was amended and Section 12 was added.

VERMONT

CONSENSUS ON INSTANT RUNOFF VOTING (IRV):

In accordance with the LWV's position of promoting political responsibility through informed and active participation of citizens in government, the LWVVT will support legislation that assures that the candidate preferred by a majority of voters wins the election.

Specifically, the LWVVT will support instant runoff voting (IRV) for all statewide Elections. Adopted: 1999

Vermont Position on Redistricting and Voting Methods:

The emphasis on geographic representation in legislative bodies in the U.S. may be anachronistic. It is more important that voters be represented by elected officials who reflect their political views, than happen to live nearby. Single-seat winner-take-all elections, regardless of method of redistricting, elevate the representation of geography above political philosophy, and other priority voter self- identities.

It is impossible to redistrict single-seat districts in such a way as to promote BOTH competitive elections AND a highly representative delegation (as these two priorities are in inherent conflict in single-seat districts). Therefore,

The League of Women Voters of Vermont supports the principle of legislative districts using alternative voting methods, such as proportional representation in multi-seat districts, as a way of achieving both competitive elections and fair representation of both majorities and minorities within a district.

Adopted: 2008

WASHINGTON

POSITION IN BRIEF:

Action to facilitate changes in the state constitution to achieve a representative and effective state legislature. Action to promote an informed electorate. Action to limit methods of financing political campaigns in order to ensure the public's right to know, combat undue influence, enable candidates to compete more equitably for public office and promote citizen participation in the election process. Action to support access for citizens to initiate or modify legislation through the initiative and referendum process. Action to protect the interests of all affected parties in considering the formation of new counties. Action to clarify in legislation the processes in county formation and to require that the entire county have the ability to vote on separation. Action to allow more options for alternative election systems that promote "representative-ness" such as proportional representation, citizen participation and accountability and a primary that is "open" and encourages minor party participation. ...

The LWVWA adopted a two-year study, "An Evaluation of Major Election Methods and Selected State Election Laws," at the 1999 state convention in Spokane, WA. This study, completed in 2000, described a number of election systems in use throughout the world which could serve as alternatives to the system commonly used in the United States. Election methods dealing with both multimember and single-member races were described. The study provided a list of criteria by which election methods could be evaluated, and these criteria were ranked by League members, with representative-ness, citizen participation and accountability receiving top ranking. The term "representative-ness" was coined to signify the degree to which a legislative body reflects the demographic makeup of the state (mirrors the political preferences of the voters, including ethnic, racial, philosophic, or minorities) and also to signify protection of the right to representation for ethnic, racial, philosophic and other minorities. After reading and discussing the study, League members concluded that the State should enable jurisdictions in Washington to experiment with a variety of election methods. ...

Recognizing the complexities of the topic of election methods, delegates at the 2001 LWVWA convention adopted a one-year continuation of the Election Methods study focusing on the three alternative election methods currently receiving considerable public attention:

- Instant Runoff Voting (IRV) for single winner offices (produces a majority vote winner.)
- Choice Voting (Single Transferable Voting) for representative bodies used to achieve proportional representation.
- Cumulative Voting (achieve semi-proportional representation)
- · An explanatory program was developed which utilized visual aids and mock voting using

current voting methods as well as the three major alternative systems. This program was a great help in better understanding the relationship between the election method used and election results. Members are enthusiastic about sharing this program with the public and in seeing alternative election methods adopted at the state and local level...

- The League of Women Voters of Washington:
 - Supports state election laws allowing for more options for alternative election systems in governmental jurisdictions at both the state and local levels.
 - Believes that consideration should be given, when evaluating election systems, to how well they promote "representative-ness", citizen participation and accountability.
 - Supports adoption of election methods that produce proportional representation when electing representative government bodies such as councils, legislatures and Congress.
 - Supports the concept of a majority vote requirement for winners of single offices such as mayor or governor, as long as it is achieved using a voting method such as the Instant Runoff Vote, rather than a second, separate runoff election.

LOCAL LEAGUE: POSITION EXAMPLES

BERKLEY (CA)

"Instant runoff voting" should be used in all elections involving more than two candidates for a single position. *Adopted: 2000*

OAKLAND (CA)

"Instant runoff voting" should be used in all elections involving more than two candidates for a single position. *Adopted: 2003*

MONTGOMERY (MD)

"We support the option to use Instant Runoff Voting for single seat or executive office elections, both at the county and local level. This would require the winner to receive a majority of the votes cast.

- a) To fill vacancies in any county offices, when special elections are held, we support a single election requiring a candidate to receive a majority of votes (using Instant Runoff Voting) instead of conducting both special political party primaries and a special general election.
- b) In addition, we support using a single election, instead of both a primary and general, which requires a candidate to receive a majority of votes (using Instant Runoff Voting) in order to be elected to the nonpartisan Board of Education. The election should be concurrent with the general election.

Election Equipment We believe all newly acquired voting equipment (hardware and software) for use in Montgomery County should allow alternative ways of casting and counting ballots and be usable for all county and municipal elections. (NOTE: In 2008, this includes support of Instant Runoff Voting and Single Transferable Vote.) *Adopted 2008*

Criteria for Evaluating Election Methods

The LWVUS lists 8 criteria for evaluating electoral systems, 4 of which were well covered by LWV Washington's 2000 study.⁵ This section offers background and detail on each of the LWVUS criteria, sequencing those defined by Washington second, followed by the additional criteria listed by LWVWA that were not included in the LWVUS position.

- Are verifiable and auditable Must have stringent integrity properties. For example, most states require a paper trail. Further, hand recounts must be possible for auditing. (RCV is fully compatible with these requirements)
- Maximize effective votes/minimize wasted votes Plurality voting maximizes wasted votes. In single-member races, voting for a third party or a write-in is usually a wasted vote. In multi-member races, an overwhelming favorite reduces the value of votes for that candidate in excess of the threshold needed to win one of the available seats
- Are compatible with acceptable ballot-casting methods, including vote-by-mail -Specifically, how ballots are marked, accommodating disabled voters, voting-by-mail, optical-scan machines. (RCV ballots are consistent with all current ballot-casting methods)
- Implement alternatives to plurality voting Implicit in this statement is that plurality voting, when compared to alternatives, is the least effective in terms of meeting LWVUS goals for election methods. (See below for how election methods are evaluated.)
- Encourage voter participation and voter engagement One test of a healthy democracy is voter turnout. Democracy is founded on the principle of "consent of the governed." This principle is weakened when fewer than half of all eligible voters are electing the officials who make decisions that affect everyone.

Election methods and procedures can dramatically affect turnout. In addition to procedures that overtly reduce turnout by making voting harder (barriers to registration, distant polling places, ballots in only one language, etc.), election methods and procedures may affect turnout in subtler ways. One example is the "safe district" dominated by one party. Citizens opposed to that party are easily discouraged from voting because, they feel, their vote has no chance of affecting the outcome – that their vote is actually not as powerful as the votes of citizens who support the dominant party. Also, in districts with uncontested races, voters of the dominant party may not take the trouble to vote, viewing that vote as pointless. Some voters as a matter of principle will not vote in any uncontested race. However, when a variety of closely contested races and issues are also on the ballot, turnout may be improved.

Political scientists often measure the percentage of voters who supported a winning candidate. Election methods differ greatly on this. Some methods routinely use the votes of more than 80% and even 90% of the electorate to choose the officeholders. Other methods tend to produce much lower percentages. Not surprisingly, turnout correlates remarkably well with these measures of winning votes. Simply put, citizens seem most likely to vote when they feel that their vote is most likely to count (make a difference in the results).

⁵ Adapted from LWV of Washington, "An Evaluation of Major Election Methods And Selected State Election Laws Fall 2000," pages 22-34, available https://www.lwvwa.org/resources/Pictures/emstudy.pdf

 Encourage those with minority opinions to participate, including under-represented communities - On the meaning of this attribute, John Adams, the second president of the United States, wrote:

"The principal difficulty lies, and the greatest care should be employed in constituting this representative assembly. It should be in miniature an exact portrait of the people at large. It should think, feel, reason and act like them. That it may be the interest of the assembly to do strict justice at all times, it should be an equal representation, or, in other words, equal interests among the people should have equal interests in it. Great care should be taken to effect this, and to prevent unfair, partial and corrupt elections." (Adams)

An essential aspect of Representative-ness is the protection of the right to representation for ethnic, racial and philosophic minorities. Despite the nearly universal use of plurality or majoritarian ('winner-take-all') election laws throughout the United States, the League's history in regard to the national Voting Rights Act implies support for "effective representation of minority citizens."

• **Promote access to voting** - Two additional criteria, suggested by the IIDEA⁶, should be considered. Note that the IIDEA uses "parliament" as the generic term for all legislative bodies.

Excerpts from the IIDEA Handbook of Electoral System Design explain these terms.

Ease of voting—ensuring access to polling places, removal of barriers to registration and voting, accuracy and currency of the electoral rolls, confidence in ballot secrecy.

Wasted (or Losing) votes—"[T]he broader issue of ensuring whether an individual's vote makes a difference to the final results. If you know that your preferred candidate has no chance of winning a seat in your particular district, what is the incentive to vote? In some electoral systems the number of "wasted votes" (i.e., those that do not go towards the election of any candidate, as distinct from spoiled or invalid votes, which are ballots excluded from the count) can amount to a substantial portion of the total national vote."

Proportionality of results—Referring to the corrosive effect resulting from a widespread perception that the system is "rigged" and produces unfair (very disproportionate) results.

As IIDEA writes in the Handbook:

- "... even within democratic parliamentary systems, the choice of the electoral system can influence the legitimacy of institutions. For example, the Australian Senate between 1919 and 1946 was elected by a highly disproportional electoral system (the Alternative Vote in multi-member districts) that produced lop-sided and unrepresentative results. This tended to undermine the actual legitimacy of the Senate itself in the eyes of both electors and politicians.... After the system was altered to a fairer proportional system (the Single Transferable Vote) in 1948, the Senate began to be perceived as more credible and representative...."
- Promote sincere voting over strategic voting Sincere voting has two aspects. First is
 the problem, related to the issue of minority representation, of ensuring that the majority's
 wishes are respected. The second aspect is ensuring that voters feel they do not have to
 play games or vote strategically to ensure that their vote doesn't end up backfiring on them.
 Strategic voting then is the opposite of sincere voting, and the need for strategic voting is a

-

defining characteristic of various election methods.

⁶ International Institute for Democracy and Electoral Assistance, learn more at https://www.idea.int/about-us/mission-values, Glossary available at https://www.idea.int/about-us/mission-values, Glossary available at https://www.idea.int/about-us/mission-values, Glossary available at https://www.idea.int/data-tools/data/electoral-system-design

Most American voters understand strategic voting whether or not they use the term. They know that, in some cases, a vote for a favorite third-party candidate can actually help the least-preferred candidate win, by splitting the vote between the two major contenders, or by drawing votes away from one contender in a close race. In a strong two-party system, great pressure is apt to be exerted on the third-party supporter, urging her not to vote for her favorite candidate and thereby spoil the race for her second choice candidate. In slang terms, she will be asked to vote for the lesser of evils rather than help the worst evil get elected. The electoral system in use in our country makes strategic voting possible and, some say, vital.

New Mexico experienced the minority winner phenomenon in 1997, when a Green Party and a Democratic Party candidate shared a majority of votes for a seat in Congress-while losing to a Republican candidate who achieved a plurality but not a majority of votes. The same year, the reverse took place in the Alaskan gubernatorial race where Republicans split their votes between two factions and the Democrat won. These are but two examples of a long-understood facet of single- member plurality elections: "vote splitting" - where a majority of voters, voting their sincere choice, wind up electing the candidate they least prefer.

The choice of election methods and procedures has much to do with whether or not voters feel that they can vote their sincere choice, or whether they must vote strategically (be willing to vote for other than their true preferences). Many people feel that the necessity to vote strategically when more than two parties are on the ballot has a great deal to do with the low rates of citizen satisfaction with and participation in elections. Others believe that strategic voting is part of the reality of political life whenever more than two parties are fielding candidates for office, and that minor party candidates and their supporters, in wishing for a "sincere" vote, simply do not have sufficient pragmatic grasp of this reality. However, an election method, which provides the ability for a failed "sincere" vote to be transferred to a second-choice candidate, could allow a voter the luxury of having her cake (sincere vote) and eating it, too (strategic vote as well).

Additional Criteria (from WA, not US)

- Promote accountability (2)
- Reduce election costs (6)
- Ensure majority support for single winner offices (7)
- Encourage efficient decision-making effective legislative performance (8)
- Discourage negative campaigning (10)

WA Criterion 7: Ensure Majority Support for Single-Winner Offices

Majority's wishes can be frustrated when a plurality winner (receiving less than a majority of the votes) is elected. In other words, a plurality election makes it possible for a candidate preferred by a majority of voters to be defeated by a candidate strongly opposed by a majority of the voters. The more candidates there are for a position the more likely this is to happen. For example in a 1999 Seattle Port Commissioner race, the top two candidates each received only 18% of the primary vote. It is not usual for eventual winners to have received less than 35% of the vote in the primary election. Plurality winners violate a basic democratic ideal—"majority rule." Without a mandate supported by the majority, public confidence in government may be eroded and it can be difficult for an elected official to assume a leadership position. Since not all single-winner election methods allow plurality winners, this is a criterion that can be used to

judge election methods.

WA Criterion 2: Promote Accountability

Democratic theory says that elections foster accountability – the means by which electorates may hold officials accountable for their performance. The ability to "turn the rascals out" is, in large part, what distinguishes democracies from other forms of government.

While it is true that the structure of government has a large influence on its accountability (or lack thereof), different election methods may also contribute to, or detract from, governmental accountability through two related factors: the strength and number of political parties, and the strength of incumbency. Critics of our existing election method believe that these two factors are strongly influenced by the society's election methods.

Political Parties

Strength:

While government accountability shouldn't be confused with party accountability, strong parties favor governmental accountability for several reasons, first among them being the influence of party cohesion and the resulting government policies. In a country with distinct and vital parties, candidates are less likely to "freelance" and more likely to "toe the party line." For voters, this represents increased accountability, because it both clarifies each party's (and hence candidate's) positions and reduces the odds that candidates will stray from the party platform.

Numbers:

With respect to the number of parties, governmental accountability would seem to be reduced at either extreme (too few or too many viable parties). States with a single ruling party offer voters little or no true accountability. At the other extreme, it is possible for so many parties to win representation in the government that it cannot ever act decisively, no matter how critical the issue. It is important to note that this "gridlock" may have nothing to do with the number of parties (it may just reflect the division in society). It means, however, that each voter cannot blame - or hold accountable - his or her representatives for government inaction.

Critics of the "two-party system," such as that in the United States, believe it leads to reduced accountability. A two-party system reduces party cohesion and strength, as party candidates must seek to be all things to all people. In countries with two-party systems, candidates and office-holders tend to choose their party more by pragmatic local considerations (and often even change party as local circumstances change) than by ideological ones. Voters in two-party systems often complain that there is no difference between the two parties, especially when critics are not themselves affiliated with either of the two major parties. Again this is not surprising, since both parties must seek to please the same centrist voters in order to gain a majority. The natural result is the use of similar appeals made in similar ways. It is hard to throw the rascals out, critics contend, because most voters feel they do not have alternatives.

Other observers, however, believe that voters look for a variety of qualities in a candidate in addition to party affiliation, and that they will not hesitate to hold their elected officials accountable at the ballot box regardless of the number of viable parties. It has also been observed that the two major parties, even in recent history, are often far apart philosophically, which is more apt to create polarization and legislative gridlock than political consensus or voter confusion.

Incumbency

Incumbency also affects accountability. Incumbents have always enjoyed many natural advantages in reelection contests, and there are usually a few officeholders here and there who are all but unbeatable because they are so personally popular. Neither of those are what is meant by incumbency here.

Incumbency that reduces political accountability is the incumbency that permits even average officeholders (i.e., not the unusually-popular exceptions) to not only win out against challengers, but to reliably win by landslides or, even better, to face only token challengers, if any. This manifestation of the power of incumbency says less about the incumbents than it does about the weakness or absence of opponents.

This strong incumbent power can arise in a number of ways. Lopsided party dominance reduces accountability. For example, some states in the U.S. are essentially one-party states in which one of the two major parties has, for all practical purposes, quit contesting most races. In state legislatures, Idaho is now overwhelmingly Republican despite the fact that Democrats get 35%-40% of the vote, while in Massachusetts and Arkansas, Republicans don't even run enough candidates to have a theoretical chance to win a majority. In Congress, Massachusetts's entire delegation is Democrat (ten House, two Senators) and Oklahoma's entire delegation is Republican (six House, two Senate). Critics of the current system contend that this denies voters accountability. However, also to be considered is the question of how often incumbents in single party districts or states receive serious challenges from members of their own party. In addition, historic changes in party affiliation have occurred in various nearly or solely single-party areas, such as in the South after the Civil War and again in the 1980's when the Democratic south turned Republican in large numbers.

Even in cases where both parties function, a strong incumbency sometimes can occur. A strong factor in creating an incumbent-friendly environment can be the ability to gerrymander: to draw election districts in such a way as to provide electoral advantage to particular political parties.

Gerrymandering can increase incumbency for both major parties, because the electorate is divided into safe seats for both. When possible, the stronger party attempts to see that districts are drawn so the weaker party cannot win any representation. If this extreme level of gerrymandering cannot be accomplished, then districts are drawn so the largest blocks of the weaker party's voters are "crammed" into the fewest possible districts. While this costs the stronger party those few seats, it gives this party a greater number of safe seats elsewhere. Thus, both sides will represent incumbent- friendly districts that may be held as long as they like, safe from both primary and general election challenges - provided that no significant voter demographic or philosophic changes occur.

In the 1998 U.S. House of Representatives races, 95 out of 435 (22 percent) had only one major party candidate; the other major party didn't bother fielding a candidate. Voters in those districts have few options for holding their member of Congress accountable, except perhaps by encouraging another member of the same party to run against the incumbent.

WA Criterion 3: Promote Openness

An open government system is one that offers the citizenry opportunities to observe, comment and evaluate the performance of elected bodies. This transparency is essential to democratic governance.

While many variables must be taken into account in analyzing this topic, such as the effects of campaign finance disclosure and open meeting laws, critics of America's predominant two-party electoral system believe that different election methods lead to different degrees of governmental openness, and that, all else being equal, government openness increases as the number of political parties increase.

In a two-party system, critics argue, there may be little incentive to develop a broad consensus

if one party has sufficient votes to carry out its policies (assuming that the Executive is of the same party and hence declines to wield her veto powers). In such a system policy debates may be more frequently closed and internal policy differences hidden. Such differences, if made public, are considered a breakdown of party discipline and a sign of weakness.

With multi-parties represented, coalitions of minority parties likely would be required to span a broader spectrum of views, which could lead to more open discussion of differences. The more diverse interests which have a chance of winning, the more policy issues should emerge during campaigns and after winners are seated.

WA Criterion 4: Promote Responsiveness

Some election methods tend to reflect societal changes in an almost linear way, albeit lagging usually somewhat behind the electorate. In such systems, if popular support for a party platform doubles or triples, it would be unusual if the number of party candidates elected did not increase, possibly doubling or tripling. These are fairly responsive systems.

Other election methods tend to act in a more binary (on/off) fashion, and fluctuate between extremes. For example, in majoritarian systems, a policy position with only 15% support in the electorate is likely to have no elected advocates in government. Likewise, a doubling of support is still not likely to produce many Representatives in office. Even tripling support for this idea does not guarantee that any elected officials will advance this policy. However, the next increment of increased support is likely to cause enormous change. Once a majority shares this same view, the system may leap to the new position in a single bound. All the advocates of the old view may be swept out, and only advocates of the new position may be in office and in leadership in the government – even if their majority is very slim.

Another analogy might be the comparison between an on/off light switch and a dimmer switch. The light switch is moved and nothing happens, until all at once the light is on. Proportional voting systems are more like dimmer switches, move them a little bit and the light gets a bit brighter or dimmer.

A slow-then-hyper-responsiveness is typified by the U.S. House of Representatives. Dominated since mid-century by the Democratic Party, the entire leadership (determined by the party winning the most seats) changed on Election Day in 1994. Political scientists analyzing the returns noted that a change of fewer than 20,000 votes would have kept the Democrats in control. Thus, for years, millions of voters chose Republican candidates again and again, but the majority, the leadership, and thus the power in the House remained with the Democrats. And then a tiny shift – equal to about 4% of residents in a single district – resulted in an enormous power shift. Thus the election method used has a great deal to do with the extent and rate at which the resulting governments tend to respond to citizen desires.

WA Criterion 6: Reduce Election Costs

Although campaign financing is not the focus of this study, it is important to note that the election methods and procedures that a society uses have a great deal to do with how much money campaigns require.

Election rules – not just campaign finance rules – have a great deal to do with how much candidates feel they must raise and spend. In particular, the winning threshold – the minimum percentage of votes needed to win — has a great effect on campaign spending. Lower thresholds allow lower spending, while higher ones (up to the maximum of 50% +1) correlate with the highest spending.

Another aspect of costs refers to the administrative costs incurred in holding the election. The IIDEA Handbook notes, in observations pertinent to both national and local elections:

"Elections do not take place on the pages of academic books but in the real world, and for this reason the choice of any electoral system is, to some degree dependent on the cost and administrative capacities of the country involved...it is important to remember that, while cost and administrative issues should always be borne in mind, simplicity in the short term may not always make for cost-effectiveness in the longer run. An electoral system may be cheap and easy to administer but it may not answer the pressing needs of a nation, and when an electoral system is at odds with a country's needs the results can be disastrous. Alternatively, the "best" electoral system in any given case may at the outset appear a little more expensive to administer and more complex to understand, but in the long run it might help to ensure the stability of the state and the positive direction of democratic consolidation."

WA Criterion 8: Promote Efficient Decision-Making and Effective Legislative Performance

Both efficient decision-making and effective legislative performance are greatly influenced by the divided structure of American Federalism. With its separate executive and legislative functions, the system is widely accepted as biased against efficiency and rapid decision-making. In sharp contrast to a Parliamentary system, the Framers' sought "checks and balances" to prevent an overactive government.

Still, election methods play a role here. Election methods that favor establishment of clear majorities in a legislature promote decisiveness. Legislatures thus elected will likely face less difficulty in passing a program and overriding any executive vetoes that may occur. Systems favoring establishment of clear majorities, however, could be seen to be less representative than more proportional systems, even as they may enhance decisiveness and, perhaps, effective performance. Since election methods differ greatly in the extent to which they promote clear majorities or cohesive coalitions, election methods can influence decisiveness and effectiveness.

WA Criterion 10: Provide Incentives for Inclusion and Discourage Negative Campaigning

Also included from the IIDEA handbook:

"Incentives for inclusiveness—Electoral systems can be seen not only as ways to constitute governing bodies, but also as a tool of conflict management within a society. Some systems in some circumstances will encourage parties to make inclusive appeals for electoral support outside their own core vote base; for instance, even though a party draws its support primarily from black voters, a particular electoral system may give it the incentive to appeal to white, or other, voters. Thus the party's platform would become less divisive and exclusionary, and more unifying and inclusive. Similar electoral system incentives might make parties less ethnically, regionally, linguistically, or ideologically exclusive."

Voters frequently complain about the quality of campaigns. Campaigns that focus on personal attacks may drive voters away from the polls and increase voter cynicism about elections in general. Some experts suggest that the kind of election system used affects campaign strategy. For example, systems that require people to cast a vote for a party seem to encourage less of a focus on individuals and more of an emphasis on issues and party platforms. Proponents of election systems that use multiple votes or rank candidates argue that their systems discourage mudslinging campaigns since a candidate is less likely to conduct a negative campaign against another candidate if they believe they might receive a second or third choice vote from the other candidates' supporters. These systems also discourage negative campaigning because they tend to result in larger numbers of candidates in any particular race. Hence candidates are motivated to stick to a discussion of issues rather than campaigning negatively when there are many likely opponents to criticize.

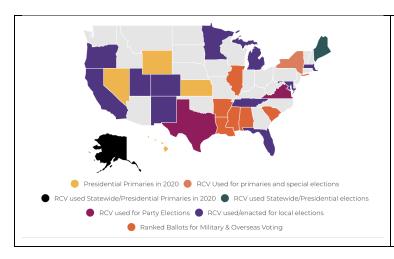
Ranked Choice Voting Considerations

While this concurrence with LWVUS does not support specific electoral reform beyond systems meeting the 8 criteria, Ranked Choice Voting deserves some attention due to its increasing use in the United States and globally — with state and local Leagues around the country advocating for it. Jurisdictions currently employing RCV also call it Instant Run-off Voting and Preferential Voting to contrast it with Plurality or First-Past-the-Post voting.⁷

This section has 4 parts:

- A brief description of RCV
- The LWV of Vermont Pro-Con Considerations on RCV
- The LWV of Vermont Position on RCV (1999 & 2016)
- The LWV of NYC Position on RCV (2019)

Ranked-choice voting (RCV), also known as instant runoff voting (IRV), is currently used in 20+ jurisdiction in the U.S. (see below), as well as in many national, state and local elections around the world. It has been used in Australia since 1918, and Ireland since 1921.



Examples of usage: Cambridge, MA City Council and School Board for over 65 years; Eastpointe Michigan; St Paul and Minneapolis, MN: Santa Fe, NM: Carbondale, Basalt and Telluride, CO: Takoma Park, MD; San Francisco, Oakland, Berkeley, and San Leandro CA; the states of Alabama, Arkansas, Georgia, and Louisiana for run-offs, military and overseas ballots; the state of Maine (adopted 2016), now for all offices; the state of Alaska, beginning in 2022.8

As special consideration for New York is fusion voting (see below "Outstanding Issues..." section of LWV NYC 2019 position). Fusion voting allows for the same candidate to appear multiple times on different lines representing different political parties. While this does present a unique challenge for RCV, it is not insurmountable.

Definitions and comparisons of additional electoral systems have been extensively studied by many LWV, most particularly, LWVWA and LWVOR, cited above; and LWV Rogue Valley: http://lwvroguevalley.org/wp/wp-content/uploads/2017/02/Election-Methods-Study-Update-2016-10-17-1.pdf
 Map available: https://www.fairvote.org/rcv-where_is_ranked_choice_voting_used

The LWV Vermont Position & History w/ Pros and Cons

Ranked Choice Voting (adopted 1999, 2017)⁹

Position In Brief:

The League of Women Voters of Vermont (LWVVT) will support ranked choice voting for all statewide elections.

Position History:

Approved 1999

"Instant Runoff Voting" is more often and more descriptively called "Ranked Choice Voting" in 2017. This position was reworded and reaffirmed at our state convention in 2017.

In accordance with the LWV's position of promoting political responsibility through informed and active participation of citizens in government, the LWVVT will support legislation that assures that the candidate preferred by a majority of voters wins the election.

Specifically, the LWVVT will support ranked choice voting (RCV) for all statewide elections.

(Ranked Choice Voting has previously been termed "Instant Runoff Voting.")

Commentary

"Let us never forget that government is ourselves and not an alien power over us. The ultimate rulers of our democracy are not a President and senators and congressmen and government officials, but the voters of this country." ~ Franklin D. Roosevelt

Each election method has pros and cons, but in 1999 the LWVVT studied the choices and decided IRV was the best method to achieve the best voter participation and the outcome most reflective of the voters' wishes. The NEK Unit reviewed the issue again this past spring, and confirmed that no election method has been identified as better than IRV for our goals.

With the League's advocacy, the Vermont legislature approved IRV in 2000, but Gov. Douglas vetoed it. Burlington gave it a try for city elections, but with insufficient voter education and strong opposition from active members of the major parties, it was rescinded after only one election.

Thus at our 2017 state convention in Randolph, we voted to advocate for and educate about IRV as part of our biennium program.

⁹ LWV of Vermont, <u>Position on Ranked Choice Voting</u>, available: <u>https://my.lwv.org/vermont/position/ranked-choice-voting-1999-2017</u>

LWV Vermont Pro/Con Considerations 10

The LWVVT has a position in support of Instant Run-off Voting Ithe most common form of Ranked Choice Voting but we here present a review of the arguments for and against it.

PROs of IRV/RCV

- **Promotes majority support** The voting continues until one candidate has the majority of votes, so the final winner has support of the majority of voters.
- **Discourages negative campaigning** Candidates who use negative campaigning may lose the second choice vote of those whose first choice was treated poorly.
- Provides more choice for voters Voters can vote for the candidate they truly feel is best, without concern about the spoiler effect.
- Minimizes strategic voting Instead of feeling compelled to vote for 'the lesser of two evils," as in plurality voting, voters can honestly vote for who they believe is the best candidate.\
- Saves money compared to running primary elections (to narrow the field before the general election) or run-off elections (to chose a final winner, if no candidate has a majority and the law requires a majority for that office). With IRV, the result can be obtained with one ballot.
- Provides outcomes more reflective of the majority of voters than either primaries (candidates "play to their base") or run-off elections (typically lower turnout).

CONs of IRV/RCV

- It is new A certain percentage of people don't like change. This can make them unhappy, or might make them decide to not participate.
- It will require education about how it works We don't want spoilt [sic, spoiled] ballots! We don't want uninformed people coming to exercise their right and responsibility to have a bad experience, or to leave without voting properly.
- The ballots and the counting of the ballots will be more expensive It either requires a computer system, or is labor intensive to count by hand, with risk of errors. But security and integrity of our elections will require having a "paper trail" so that we can do recounts, and know the results are valid.
- The "vetting" is less clear In the U.S., we have very few requirements for what a person must do to run for office and be on a ballot. With primaries, the idea is that there is so much publicity that voters in later primaries, and then in the general election, will have learned the candidates' weaknesses and be better informed before voting. If there are no primaries, we may need to figure out how to "vet" candidates better, or pass more requirements for candidates to qualify to run.
- You could still fail to get a candidate with a majority. If enough voters did not give any votes to their lower choices, then you could fail to get a candidate who ends up with a majority, after all. Australia requires that voters do rank every candidate, even if they really don't want some of the candidates. (I have not seen that proposed in the U.S.) This might be interpreted as reducing your choice, or forcing you to vote against your conscience.

I have not seen this discussed yet, but if there are too many choices, without clear front-runners, I am not sure whether the result reflects the voters' desires as well as it would if there were only. say, five choices. So it may be complicated to determine who will be allowed on the ballot.

If you look over the list of pros above you can see why towns that use IRV tend to have better voter turnout than before they started the IRV. People are less turned off by the campaign process and happier with the election results.

¹⁰ LWV Vermont, available at https://my.lwv.org/vermont/article/pros-and-cons-instant-runoff-ranked-choice-voting.

LWV NYC Position (2019)

We support ranked-choice voting (in certain circumstances known as instant runoff voting) in all local NYC elections, including primaries, specials, and general elections.

- Voters should have the option to rank at least three and at most six candidates for a given
 office.
- The counting should cease to reallocate votes once a candidate receives over 50% of the current round's vote.
- RCV/IRV should happen in lieu of any head-to-head runoffs that might otherwise have occurred.
- Adequate funding should be provided for training, equipment, staff, and voter education.

Our Reasoning

- Voters in other cities and states that use RCV appear to understand and like the system, and cities like Santa Fe reported increased voter confidence in the quality of the result.
 Voters are also able to express their preferences more fully than they would with the existing system.
- Observers in other cities with RCV report reduced negative campaigning.
- While we strongly recommend ample funding for logistical and educational needs, RCV
 has been run in Maine on a shoestring budget, so it is cost effective, particularly in
 comparison to the 2009 and 2013 Public Advocate regular runoffs, which cost \$13 million
 with single-digit voter turnout.
- There is no clear effect on voter turnout, suggesting the lack of a negative consequence on that front.
- There is modest evidence for RCV leading to an increase in elected officials that are female or people of color.
- RCV has been implemented successfully in many situations, including major U.S. cities, one U.S. state, and also countries like Australia. Cambridge, MA, has been using this system since 1941.

Outstanding issues that must be resolved by changes in the law

Kate Doran spoke with the BOE General Counsel. General Counsel Steven Richman said that he had the following technical and legal concerns. It is the feeling of our committee that these questions will have to be resolved by legislators or any charter commissions in order to implement RCV, but also that they should not prevent the City League from taking a stand on the issue.

- [Ballot design question] If an election event involves both local AND state contests, how do you combine RCV on the same ballot with non-RCV contests? Any City Charter change would **NOT** affect state contests.
- It is worth noting that other cities and states HAVE successfully combined RCV and regular voting on the same ballot, so this is possible if the ballot design is good.
- How do you order candidates, when we have fusion voting? Political parties get first preference under state law.
- There is one point of state law that might lead to future legal conflict if RCV is passed at the
 city level, which is that state law dictates when a runoff must occur in primaries for citywide
 offices (Mayor, Public Advocate, Comptroller). The current runoff structure could not be
 changed to an instant runoff without action by the state legislature. Further clarification on
 how to resolve the difference is required.
 - NOTE: This caveat does **not** apply to City Council elections, borough elections, etc.
- The software would have to be certified by the State BOE, so the city's say-so is insufficient

Terminology/Glossary

(in alpha order) Below is some basic information on electoral terminology.

Approval voting allows a voter to vote for as many candidates as she supports

> regardless of the number of seats to be filled. Fargo, ND, used approval voting in November of 2020, with the candidate in a singlewinner contest or candidates in a multi-winner contest who get the most votes winning. Approval Voting has (less common) multi-winner versions; most – but not all – promote proportional representation.

At-Large A type of electoral jurisdiction where representatives are elected from

> the whole political region. Voters are not divided into districts. Common in cities and counties. Plurality/Winner-Take-All at-large systems allow 51 % of voters to control 100 percent of seats.

Auditable Transparent procedures to verify (either by hand count or technology)

the accuracy of an election outcome.

See Two-Round Runoff **Delayed Runoff**

Effective Vote Opposite of "wasted votes" (see elsewhere). Effective votes are those

that were useful in successfully electing (or in determining) a winner.

Electoral System Rules and procedures governing the election of public officials by

specifying ballot structure, district magnitude and the way that votes

are translated into seats.

First-Past-The-Post (FPTP) Same as Plurality Voting In a horse race, the first horse to

pass the finish line (the post) wins. The same is true for a FPTP electoral, a system that came with the British, which Americans still

use and call Plurality voting.

In an election, candidate with the most votes wins. However, the candidate may not have received a majority if more than 2 candidates

in the race, due to vote splitting.

Vote splitting can result in electing the candidate least preferred by

voters.

Gerrymandering Different from "apportionment" which is the allotment of

representatives based on an area's population. Gerrymandering is a

political manipulation. Specifically, "gerrymandering" is the

manipulation of boundary lines in a district with the intent to

advantage or disadvantage a candidate or political party in order to gain more seats than its proportion of the vote. Gerrymandering can be used to disenfranchise a group of voters by racial gerrymandering

or disenfranchise members of the opposite party by partisan

gerrymandering. [Redistricting is the term used for fair 'line drawing,'

the goal of an Independent Redistricting Commission.]

Multi-Member District An area (electoral jurisdiction) from which more than one

candidate is elected. Many, but not all, multi- member districts (MMD) hold multi-winner elections, however, some MMD stagger elections over time so that members are essentially elected in single-winner

contests.

Plurality

The most common electoral system in the US, in which the candidate with the most votes wins, without necessarily receiving a majority of votes. At times, it is coupled with a second election (a runoff) if a jurisdiction requires that the final winner receives a majority vote. (See above "First Past the Post.") In a multi-winner election i.e., City Council (not divided into districts) the plurality winners are the candidates who receive the most votes. (See "winner-take-all" below.)

Proportional Result 40% of the vote gets 40% of the seats in a legislature...not Zero. 60% of the vote gets 60% of the seats in a legislature...not 100%

Majority Rule with representation for the Minority (in this example 40%) (i.e. Cambridge, MA. City Council and School Board proportionally reflect the voters in the community). (Compare this to Winner-Take-All last page)

LWV founder, Carrie Chapman Catt, served on the Advisory Council of the Proportional Representation League from 1919 until the time of her death at age 88. Believing P.R. to be a natural extension of the suffrage movement, she thought it "was important not only to get the vote but to make the vote effective in securing representation."

Ranked Choice Voting (RCV) – Single-Winner and Multi Winner Versions

With Ranked Choice Voting, voters are able to rank candidates by preference, indicating "back-up" candidate- choices when appropriate. RCV is a ranking, not weighting, method.

The two traditional forms of RCV are:

- 1) Single-winner also known as **Instant Runoff Voting** (IRV), **Preference Voting, Alternative voting**
- 2) Multi-winner aka **Single Transferable Vote** (STV)
- Single-winner types of electoral systems (including RCV) are always Winner-Take-All. When voting in a single- winner IRV election, if the voter's first choice does not have enough support to get elected, their 'back up 'candidate(s) will be counted. The voter's highest favorite remains their vote if still in the race. Voters don't have to go back for a second (delayed) election. RCV can be used to achieve a majority single winner in the final round (of remaining votes) without a second election.
- Multiple winners, STV, or RCV for multiple seats, is a proportional representation system, a key principle of voter representation. Nontraditional forms of RCV may be adopted, but the details of those systems would define their levels of representation. The multi-winner form of RCV (Single Transferable Vote) is used when electing multiple winners to achieve a proportional result (i.e.40% of the voters gets 40% of the seats) in a legislative body.
- **Multi-Winner Contest** A single race on a ballot in which multiple candidates vie to fill two or more seats.

Sincere Voting

A term used when a voter chooses to cast her ballot for her most preferred candidate regardless of the candidate's chances to win. This is the opposite of "strategic" voting described elsewhere.] In electoral systems that encourage sincere voting, the voter can vote for a preferred candidate and not worry about "wasting" their vote.

Single-Member District An area (electoral jurisdiction) from which only one candidate is elected.

Spoiler Effect or "Spoiler Candidate" Generally derogatory terms used to describe the phenomenon in which candidates split the vote. The terms can also apply to same-party candidates with weaker and stronger support. Two well-known examples of candidates being labeled "spoiler" were in 1992 when Ross Perot split the vote with George Bush, Sr., and helped elect Bill Clinton win with 43% of the vote, and in 2000 when Ralph Nader split the vote with Al Gore and helped to elect George W. Bush. Those votes for Ross Perot and Ralph Nader can be termed "wasted votes" (see wasted votes).

Strategic Voting (aka Tactical Voting) A voter chooses to vote for a candidate other than her 'sincere 'choice. This is done in hopes of preventing a lessthan-desirable outcome. This is most common when, despite the fact that the voter may prefer an independent, third-party or weaker candidate, she instead votes for a candidate presumed to have a greater chance of victory, rather than 'throwing her vote away."

> In primaries, or Top-Two systems (as in Washington and California), strategic voters choose the candidate they believe is most likely to advance to, or win, the general election, as opposed to sincerely voting for their most preferred candidate. Where allowed (as in Top-Two), they may also decide to tactically vote for the opposite-party candidate they would most like to see run against their preferred candidate.

STV

Single Transferable Vote (See Ranked Choice Voting.)

Two-Round Runoff This is an election type used in a winner-take-all (WTA) system, in which a 'delayed 'or second election is held if no candidate achieves a majority of votes in the first election (or first round). Common in many areas (states, cities, counties), this is sometimes referred to simply as "runoff elections".

> Runoff elections ask voters to go back to the polls to ensure a majority (if required) in the second election. A second election costs candidates and taxpayers more money, encourages negative campaigning, and in many cases, fewer voters participate.

Verifiable

A system (such as a paper ballot) that provides a secondary confirmation that equipment has correctly counted a voter's ballot.

Vote Splitting

When more than 2 candidates are on the ballot, voters may split their votes among like-minded candidates which can help elect a leastfavored candidate. This can also cause good, like-minded candidates NOT to run for office for fear of splitting the vote. This reduces voters ' choices, especially when parties encourage people not to run. Some alternatives to plurality voting address this problem.

(See "Strategic Voting "and "Spoiler Effect" above)

Wasted Vote

A term used in political science term that refers to votes that were NOT needed to elect the winner. Often this means all ballots cast for a losing candidate (or candidates), but can also mean any extra (not needed) votes cast in support of a much-liked winner. If a voter does not vote for a "viable" candidate in most plurality elections, then their vote is "wasted" because it does not count toward electing the winner. Some alternative electoral systems address this problem.

WTA (Winner-Take-All) "Winner-take-all" is a term used to describe "single-member districts" and "at large" electoral systems (listed above) that award seats to the highest vote getters without ensuring fair representation for minority groups.

WTA is the opposite of "Proportional Result" which provides for majority rule with minority representation when 2 or more seats are to be filled. See "proportional result" above.

Under winner-take-all rules, a slim majority or sometimes a plurality of voters can control 100% of seats, leaving everyone else effectively without representation. Result is under-representation of women, communities of color, third parties, young people, and voters stuck in areas where their voice/representation is diminished and they may they have no seat at the table.

Since many areas are dominated by a single political viewpoint, in partisan elections, winner-take-all voting systems will often result in no-choice elections in which one party has a permanent monopoly on power, and the winner is effectively predetermined. (This is often seen in over 90% of Congressional districts in the House of Representatives).

Nearly every emerging democracy has rejected WTA use. Winner-take-all systems, introduced to America by the British during the colonial era, are virtually unknown in other developed countries.